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# Density Functional Theory Study on the Reduction of NO by CO Over $Fe_3O_4$ (111) Surface

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#### ABSTRACT

Iron or its oxides (Fe<sub>x</sub>O<sub>y</sub>) commonly exist in the ash of fossil fuel and biomass, and are used as oxygen carriers in chemical looping process, and Fe<sub>3</sub>O<sub>4</sub> is a main occurrence. The NO reduction mechanisms by CO over Fe<sub>3</sub>O<sub>4</sub> (111) surface was investigated via density functional theory (DFT) calculations. An optimized unit cell of Fe<sub>3</sub>O<sub>4</sub> was constructed. The interaction between molecules and cell surface was described by the calculated adsorption properties and electronic structures. Results showed that the most stable adsorption of NO/CO belongs to chemisorption and NO has higher adsorption energy than CO. NO can be absorbed onto Fe<sub>3</sub>O<sub>4</sub> surface to form (NO)<sub>2</sub> dimer structure, which easily decomposes via a small energy barrier. (NO)<sub>2</sub> dimer mechanism is a possible pathway for the reduction of NO by CO over  $Fe_3O_{4r}$ following three steps:  $2NO \rightarrow (NO)_2^*$ ,  $(NO)_2^* \rightarrow N_2O + O^*$ ,  $O^* + CO^* \rightarrow N_2O + O^*$ ,  $O^* + CO^* \rightarrow O^*$ CO<sub>2</sub>. After the decomposition, the intermediate species N<sub>2</sub>O molecule and the remaining O atom adsorbed strongly on the Fe<sub>3</sub>O<sub>4</sub> surface can be removed by CO. CO also promotes the gaseous decomposition of N<sub>2</sub>O. DFT results also showed O<sub>2</sub> will prevent NO reduction reaction. The calculated reaction rate constants further verify the existence of (NO)<sub>2</sub> dimer mechanism and the rate-limiting step is the removal of the surface O atom.

#### **ARTICLE HISTORY**

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Fe<sub>3</sub>O<sub>4</sub>; NO reduction; catalytic effect; DFT calculation

# Introduction

NOx is one of the main pollutants generated by fuel combustion. To strictly control the NOx emission, selective catalytic reduction (SCR) or selective non-catalytic reduction (SNCR) techniques are often used for flue gas treatment (Yue et al. 2020). Though working effectively, both techniques use ammonia or urea as reducing agents. These agents are feedstocks of agricultural fertilizer and other chemicals, and their transport and storage need special care, especially for those near-city power plants. In addition, the over-use of reducing agent or poor mixing between reducing agent and flue gas can often cause NH<sub>3</sub>-slip and aggravate ash deposition on the air preheater (Cheng et al. 2018). Moreover, for SCR, precious and hazardous metals such as vanadium and tungsten are used as the catalyst (Qin et al. 2016). Therefore, CO-SCR technology, which uses CO instead of NH<sub>3</sub> as reductant, was proposed (Boningari et al. 2018).

Iron element is an abundant element on the earth, and iron oxides are main constituents in the ash of coal, biomass, and some other solid fuels. In a combustion zone, CO is often

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rich. Given iron oxides behave as catalyst in NO reduction, they could be used for costeffective CO-SCR technology development. Thus, it is significant to understand the effect of iron or its oxides on NOx reduction with the presence of CO.

In the past, many researches have conducted on the interaction of CO with iron or its oxides (Fe<sub>x</sub>O<sub>y</sub>) in iron making or chemical looping process, where Fe<sub>2</sub>O<sub>3</sub> is a raw material or oxygen carrier. It was found Fe<sub>2</sub>O<sub>3</sub> can be reduced by CO into different states (Chen et al. 2017; Monazam, Breault, and Siriwardane 2014; Prabakaran and Jayanti 2019; Wang et al. 2017), following a sequential order of Fe<sub>2</sub>O<sub>3</sub>→FeO→Fe (Chen et al. 2017) or Fe<sub>2</sub>O<sub>3</sub>→Fe<sub>3</sub>O<sub>4</sub> → FeO (Monazam, Breault, and Siriwardane 2014), or parallel steps of Fe<sub>2</sub>O<sub>3</sub>→Fe<sub>3</sub>O<sub>4</sub>, Fe<sub>3</sub>O<sub>4</sub>→FeO and FeO→Fe (Prabakaran and Jayanti 2019). During these processes, the conversion of Fe<sub>2</sub>O<sub>3</sub>→Fe<sub>3</sub>O<sub>4</sub> takes place fast and completely (Prabakaran and Jayanti 2019; Wang et al. 2017). The processes of Fe<sub>3</sub>O<sub>4</sub>→FeO and FeO→Fe are reversible. Therefore, iron may exist as Fe<sub>3</sub>O<sub>4</sub> when CO is present. This is confirmed by the finding that the dominant iron oxide in ashes of coal-fired circulating fluidized bed boilers is Fe<sub>3</sub>O<sub>4</sub> instead of Fe<sub>2</sub>O<sub>3</sub> (Hou et al. 2008).

The effect of  $Fe_xO_y$  on NO reduction has been assessed. Hayhurst et al. (Hayhurst and Lawrence 1997; Hayhurst and Ninomiyas 1998) found that Fe has a strong catalytic effect on NO and N<sub>2</sub>O reduction in a fluidized bed condition in a reducing atmosphere. The research of Zhou et al. (Zhou, et al. 2001) showed that the effect of  $Fe_2O_3/Fe_3O_4$  on direct reduction of NO/N<sub>2</sub>O is rather weak and CO can promote the reduction of N<sub>2</sub>O. Xu et al. (Xu et al. 1999) and Li et al. (Li et al. 2016) also found  $Fe_2O_3$  has remarkable catalytic effect on NO reduction in the presence of CO. However, the reaction mechanisms of NO and CO over  $Fe_3O_4$  are still not clear.

Limited by the precision of measure methods, it is difficult to observe the reaction intermediates and therefore determine the reaction mechanism via experiments. Thus, molecular modeling methods have been developed to make up for this deficiency. Among them, density functional theory (DFT) calculation is an effective method to explore the microscopic reaction mechanism over catalysts surfaces at molecular level, via calculating the electronic structure of multi-electron systems (Sholl and Steckel 2009). The modeling uses distribution and rearrangement of electrons to reflect the formation or breakage of bonds and the interaction between molecules and a surface. DFT calculations have been used to investigate the adsorption of small molecules on the surface of iron oxides (Busch et al. 2018; Zhou et al. 2018) or the reduction mechanism of NO/N<sub>2</sub>O on surface of different catalysts (Esrafili 2018; Hu et al. 2020; Wang, Zou, and Zhang 2022), and the calculation results of the adsorption of NO on  $Fe_3O_4$  (111) agreed with experimental measurements (Busch et al. 2018). Some DFT calculations were also performed on the interaction of CO with pure and defective Fe<sub>3</sub>O<sub>4</sub> (001) surfaces, but in absence of NO (Xue et al. 2014). Regarding to the reaction mechanisms of NO and CO over catalysts, DFT studies found that possible reactions involved with the formation of (NO)<sub>2</sub>\*, N<sub>2</sub>O, N<sub>2</sub> and O\* intermediates might exist and the rate determining steps differ from different catalysts (Esrafili 2018; Hu et al. 2020; Yan et al. 2021). Esrafili et al. verified the existence of  $(NO)_2^*$  and  $O^*$  species on Si-BNNS catalyst. Hu et al. found that the rate determining step of (NO)<sub>2</sub> dimer mechanism on Fe-doped penta-graphene is the dissociation of  $(NO)_2^*$ . However, the reaction mechanisms of NO and CO over  $Fe_3O_4$  and the intermediates are remained to be revealed.

Consequently, in this study, the reaction mechanisms of NO and CO over  $Fe_3O_4$  were assessed using DFT modeling, via the embedded DMol3 module in Materials Studio (MS).

An optimized unit cell of  $Fe_3O_4$  was constructed. The interaction between molecules and cell surface was described by the calculated adsorption properties and electronic structures. The reaction rates were calculated and possible reaction pathway is revealed.

# **Computational methods**

# Model of $Fe_3O_4$ (111)

A unit cell of Fe<sub>3</sub>O<sub>4</sub> consisting of 24 Fe atoms and 32 O atoms has a cubic inverse spinel structure. Its optimized lattice constants (a = b = c = 8.439 Å,  $\alpha = \beta = \gamma = 90^{\circ}$ ) are consistent with the reported experimental data (a = b = c = 8.390 Å,  $\alpha = \beta = \gamma = 90^{\circ}$ ) (Wright, Attfield, and Radaelli 2002). Fe<sub>3</sub>O<sub>4</sub> possesses two kinds Fe sites, that is, tetrahedral (8 Fe<sup>3+</sup> ions, denoted as Fe<sub>Tet</sub>) and octahedral sites (8 Fe<sup>3+</sup> ions and 8 Fe<sup>2+</sup> ions, denoted as Fe<sub>Oct</sub>), manifesting different spin directions. In our calculation, the initial spin directions of tetrahedral and octahedral Fe sites were set as downward and upward, respectively and the total spin was allowed to be optimized. The calculated magnetic moment per Fe<sub>3</sub>O<sub>4</sub> unit of 4.0 µB agreed well with experimental results. For the predominant natural growth faces of Fe<sub>3</sub>O<sub>4</sub> (111) and Fe<sub>3</sub>O<sub>4</sub> (001), Fe<sub>3</sub>O<sub>4</sub> (111) are more stable (Yu et al. 2012). Therefore, Fe<sub>3</sub>O<sub>4</sub> (111) was selected as the model surface. Among the six terminations of Fe<sub>3</sub>O<sub>4</sub> (111), the surface with both Fe<sub>Oct</sub> and Fe<sub>Tet</sub> are stable and highly reactive. Therefore, a twelve-layer periodic slab model terminated with Fe<sub>Oct</sub> and Fe<sub>Tet</sub> was chosen as the research model, shown in Figure 1. The six bottom layers were fixed while the six outmost layers were fully relaxed.

#### Theoretical methods

Spin-polarized DFT calculations were performed by embedded DMol3 module in Materials Studio (MS) software (Delley 2000, 2010). In the calculation, the generalized gradient approximation (GGA) using the Perdew-Burke-Ernzerhof (PBE) functional with DFT-D correction was chosen to describe the exchange-correlation function (Perdew, Burke, and Ernzerhof 1996; Peverati and Baldridge 2008). The double-numerical basis with



**Figure 1.** Optimized structures of  $Fe_3O_4$  (111) from the top and side views. Bond distances are in Å. (Fe atoms are purple; O atoms are red.).

polarization functions (DNP) was adopted. The real-space global orbital cutoff radius was set as 4.8 Å. The Brillouin-zone was integrated with  $4 \times 4 \times 4$  and  $4 \times 4 \times 1$  *k*-point sampling using the Monkhorst-Pack method for bulk lattice and surface adsorption system. The convergence criteria for SCF (self-consistent field), energy, force and displacement were  $1 \times 10^{-6}$  Ha,  $1 \times 10^{-5}$  Ha, 0.002 Ha/Å and 0.005 Å, respectively. A vacuum layer of 20 Å was introduced to avoid the influence between two Fe<sub>3</sub>O<sub>4</sub> (111) layers.

To describe the interaction between molecules and catalytic surface, the adsorption energy ( $E_{ads}$ ) of relevant species was calculated by  $E_{ads} = E_{S+gas} - E_S - E_{gas}$ , where  $E_{S+gas}$ ,  $E_S$ , and  $E_{gas}$  represented the total energies of the adsorbed configuration, the Fe<sub>3</sub>O<sub>4</sub> (111) surface, and the isolated species, respectively.  $E_{ads}$  is generally used to judge the chemisorption or physisorption form in theoretical chemistry field. A larger negative value of  $E_{ads}$ indicated more energetically favorable adsorption. Some studies (Wang 2007) believe that the  $E_{ads}$  range of physisorption is above –0.83 eV, while others (Nørskov, Studt, and Abild-Pedersen 2014) proposed that  $E_{ads}$  for physisorption ranges from –1.0 eV to –0.1 eV. In this study, the adsorption is defined as chemisorption when  $E_{ads} < -0.83$  eV. The reaction pathways were explored via the search of transition state (TS), which was the transient product during the reaction (Vineyard 1957). In this work, TS was determined by using liner synchronous transit (LST)/quadratic synchronous transit (QST) (Halgren and Lipscomb 1977). The vibrational frequency calculation was performed on the obtained TS structure to ensure that only one imaginary frequency corresponding to the reaction coordinate existed.

The reaction rate constant (k) was further obtained based on the transition-state theory (TST) (Vineyard 1957). It is expressed as

$$k = \frac{k_B T}{h} exp\left(\frac{-\Delta G_a}{RT}\right) \tag{1}$$

where  $k_B$  is Boltzmann constant, T is temperature, h is Plank constant,  $G_a$  is Gibbs free energy difference between the transition state and the reactant state, and R is the universal gas constant. Gibbs free energy G is calculated by

$$G = H - TS \tag{2}$$

where G is composed of electronic energy, zero-point energy (ZPE) and the thermal contributions from vibration, rotation, and translation. Therefore, based on the ideal gas approximation, the enthalpy H is calculated by

$$H(T) = E^{elc} + ZPE + E_{vib}(T) + E_{rot}(T) + E_{trans}(T) + RT$$
(3)

And entropy *S* is calculated by

$$S(T) = S_{vib}(T) + S_{rot}(T) + S_{trans}(T)$$
(4)

# **Results and discussion**

#### Adsorption of NO and CO on $Fe_3O_4$ (111)

The surface sites ( $Fe_{Oct}$ ,  $Fe_{Tet}$ , and bridge site) are all tested for the adsorption of NO/CO with N/C down or O down configurations. The stable adsorption configurations of single



**Figure 2.** Optimized adsorption structures and PDOS of NO and CO: (a) *N*-down on  $Fe_{Oct}$ ; (b) *N*-down on  $Fe_{Tet}$ ; (c) O-down on  $Fe_{Oct}$ ; (d) parallel(e) C-down on  $Fe_{Oct}$ ; (f) C-down on  $Fe_{Tet}$ ; (g) O-down on  $Fe_{Oct}$ ; (h) two parallel NO; (i) two N-down NO; (j) two opposite NO; (k) two ringy NO. Bond distances are in Å. Fe atoms are purple, N atoms are blue, C atoms are gray and O atoms are red.

NO/CO on Fe<sub>3</sub>O<sub>4</sub> (111) are displayed in Figure 2. It can be seen that NO molecule interacts with Fe atom on the surface through its N or O atom. The active sites can be Fe<sub>Oct</sub> or Fe<sub>tet</sub> for the *N*-down cases (Figures 2a,b), while the O-down NO only adsorbs on the Fe<sub>Oct</sub> site (Figure 2c). It is found that when NO is first put near the Fe<sub>Tet</sub> site as O-down configuration it will finally become the *N*-down configuration at Fe<sub>Oct</sub> site. This indicates that Fe<sub>Oct</sub> site has more intense interaction with NO than Fe<sub>Tet</sub> site. Thus, the unstable O-down configuration tends to transform to stable *N*-down configuration at Fe<sub>Oct</sub> without energy barrier. Besides, NO can be attached to the surface in parallel pattern (Figure 2d). To clarify the absorption type,  $E_{ads}$ 's, and bond length of these configurations were calculated and Mulliken analysis was performed. The results are given in Table 1. Clearly, the adsorption structures of single NO molecule all belong to chemisorption with N-down configuration

Gas	Structure	$E_{\rm ads}$ (eV)	$R_{\rm Fe-N/O/C}$ (Å)	$R_{\rm N/C-O}$ (Å)	Q (e)
NO	а	-2.66	1.71	1.18	0.126
	b	-1.81	1.73	1.19	0.225
	с	-1.38	1.81	1.21	0.230
	d	-2.04	2.09/1.76	1.24	0.369
CO	e	-1.72	1.85	1.16	0.092
	f	-0.86	1.87	1.17	0.189
	g	-0.30	1.99	1.17	0.125

Table 1. Adsorption energies, bond length and Milliken analysis of different structures.

having the largest absolute  $E_{ads}$  (-2.66 eV), also indicating Fe<sub>Oct</sub> site is more active than Fe<sub>Tet</sub> site. In addition, NO molecule obtains different electrons from the surface.

To further reveal the adsorption mechanism of NO on Fe<sub>3</sub>O<sub>4</sub> (111), partial density of states (PDOS) of these structures were calculated. PDOS presents the energy contributions of each orbital of a given atom, and can be used to describe the electron hybridization in the system and thereby the formation of bonds. As presented in Figure 2a, the orbitals of Fe-s/p/d and *N*-s/p hybridize intensely near Fermi level at about -2.28 eV, -1.35 eV, 0.99 eV and 1.8 eV, indicating there is a strong chemisorption of NO on the surface. For the other structures displayed in Figures 2b-d, the hybridization mainly happens at -2.18/0.94 eV, -0.89/0.64/1.51 eV and -3.15/-2.29/0.60 eV, also proving the existence of the bond formation between NO and the surface and the chemisorption mechanism.

The results also show that when CO molecule approaches to Fe surface, it adheres on the surface perpendicularly either through C atom or O atom. Like NO, the unstable O-down configuration at  $Fe_{Tet}$  site will transform to stable C-down configuration at  $Fe_{Oct}$  site. Therefore, O-down adsorption of CO only happens on  $Fe_{Oct}$  site. The C-down structures have  $E_{ads}$  of -1.72 eV (Figure 2e) and -0.86 eV (Figure 2f), indicating both are chemisorption. However,  $E_{ads}$  for the O-down structures only has -0.30 eV, which is a typical value of physisorption. The smaller  $E_{ads}$  at  $Fe_{Oct}$  and the only O-down configuration at  $Fe_{Oct}$  site both illustrate  $Fe_{Oct}$  site is more active than  $Fe_{Tet}$  site. Besides, PDOS analysis shows that the hybridization of O-down CO and the surface mainly exists at the unoccupied states, confirming the corresponding process is a weak physisorption. Compared with NO, CO has smaller absolute  $E_{ads}$ , indicating that NO is more prone to adsorb on  $Fe_3O_4$  (111) surface.

The adsorption of double NO molecules was also considered and the stable configurations are presented in Figure 3. Relevant structure parameters are summarized in Table 2. The values of  $E_{ads}$ 's are between -2.63 eV and -4.28 eV, ascribed to a strong chemisorption. To specify the interaction of two NO molecules when they are adsorbed on the surface, the energy difference  $\Delta E$  was calculated by  $\Delta E = E_{ads}(\text{NO-1}) + E_{ads}(\text{NO-2}) - E_{ads}(2\text{NO})$ , where  $E_{ads}(\text{NO-1})$  and  $E_{ads}(\text{NO-2})$  represented  $E_{ads}$ 's of two corresponding single NO adsorption structures, and  $E_{ads}(2\text{NO})$  represented  $E_{ads}$  of double NO molecules. The positive value of  $\Delta E$  indicates that there exists interaction between two NO molecules on the surface.

As shown in Table 2, among these configurations, only  $\Delta E$  of two ringy NO (Figure 3d) is positive. In this structure, two NO molecules can form a ringy structure, different with other configurations in which two NO molecules adsorb separately. This dimer structure, denoted as (NO)<sub>2</sub>, is also found in many literatures (Esrafili 2018; Hu et al. 2020; Yan et al. 2021) and is usually regarded as an important reaction intermediate in NO reduction.

#### The direct dissociation of NO over iron oxide surface

As shown above, the adsorption of NO on  $Fe_3O_4$  (111) surface is stronger than that of CO. When NO is adsorbed on surface, there are mainly two reaction pathways of NO reduction by CO: NO-dissociation and (NO)<sub>2</sub> dimer (Esrafili 2018). For the former one, NO on the surface dissociates into N and O atoms and then two N atoms recombine into a N<sub>2</sub> molecule. For the latter one, (NO)<sub>2</sub> dimer decomposes into an O atom and a N<sub>2</sub> O molecule. Therefore, three most stable structures shown in Figure 2 as the initial reactants (IS2, IS2 and IS3) are used to analyze the most possible NO-dissociation pathway.

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**Figure 3.** Optimized adsorption structures 2NO: (a) two parallel NO; (b) two *N*-down NO on one site; (c) two opposite NO-1; (d) two ringy NO; (e) two *N*-down NO on two sites; (f) two opposite NO-2. Bond distances are in Å. Fe atoms are purple, N atoms are blue, C atoms are gray and O atoms are red.

structures.						
Structures	$E_{\rm ads}$ (eV)	$R_{\rm Fe-N/O/C}$ (Å)	$R_{\rm N/C-O}$ (Å)	$\Delta E$ (eV)		
а	-2.63	1.96/1.86/2.02	1.26/1.22	-0.79		
b	-3.83	1.69/1.70	1.18/1.18	-1.49		
с	-3.01	1.81/1.74	1.21/1/18	-0.18		
d	-3.59	1.89/1.41	1.35/1.25	0.83		
е	-4.28	1.71/1.74	1.18/1.18	-0.19		
f	-3.29	1.71/1.92	1.18/1.21	-0.75		

Table 2. Adsorption energies, bond length and energy difference of different 2NO structures

Shown in Figure 4, the absorbed NO molecule dissociates into N<sub>ads</sub> and O<sub>ads</sub> atoms through a transition state (TS1, TS2 and TS3) with high energy barriers ( $E_a = 3.10 \text{ eV}$ , 2.36 eV and 2.12 eV). Compared with  $E_a$ 's (0.63–5.38 eV) for different catalysts reported by literatures (Deushi, Ishikawa, and Nakai 2017; Esrafili 2018; Fajín, Cordeiro, and Gomes 2012; Mudchimo et al. 2018; Xie et al. 2012; Yan et al. 2021),  $E_a$ 's over Fe<sub>3</sub>O<sub>4</sub> (111) are relatively high. Besides, the dissociation reactions are endothermic. Therefore, NO-dissociation pathway is less favorable in both kinetics and thermodynamics.

#### Decomposition of (NO)<sub>2</sub> dimer over iron oxide surface

The potential energy profile of  $(NO)_2$  dimer pathway is displayed in Figure 5. The  $(NO)_2$  dimer structure forms on the surface as the initial state (IS4), and then one of the *N*-O bonds gradually increases from 1.41 Å to 1.90 Å in the transition state (TS4). At the same time, Fe-O bond elongates to 2.05 Å, leading to the generation of N<sub>2</sub>O. The process only needs to



Figure 4. Possible reaction pathways of NO dissociation. Bond distances are in Å.



Figure 5. Possible reaction pathway for (NO)<sub>2</sub> dimer decomposition. Bond distances are in Å.

overcome an  $E_a$  of 0.24 eV. Moreover, the reaction is exothermic by 0.42 eV, which could provide the energy to activate the reactants in IS4. The comparisons of  $E_a$  on different catalysts and the method used in different references are listed in Table 3. The decomposition of (NO)<sub>2</sub> dimer can happen on different catalysts such as Si/Pd/Ni-doped boron nitride nanosheet and Au (321) surface as shown in Table 3. Although they may use different method, the results can still provide a reference for the evaluation of different catalysts. It can be seen  $E_a$  of Fe<sub>3</sub>O<sub>4</sub> (111) is rather small compared with the results of 0.39–0.92 eV from the previous studies (Esrafili 2018; Esrafili, Heydari, and Dinparast 2019; Fajín, Cordeiro, and Gomes 2012; Hu et al. 2020), indicating that the process on Fe<sub>3</sub>O<sub>4</sub>(111) is kinetically favorable and easy to occur.

The generated N<sub>2</sub>O could be decomposed through gas reaction or on the surface of Fe<sub>3</sub> O<sub>4</sub>. Figure 6 depicts the possible reaction pathway of decomposition of N<sub>2</sub>O with and without CO in gas reaction. In absence of CO, N<sub>2</sub>O molecule surpasses a rather high  $E_a$  (3.51 eV) to break into N<sub>2</sub> and an O atom. The result is consistent with the previous findings that N<sub>2</sub>O dissociates at high temperatures (>1173 K) (Amrousse et al. 2013). However,

Table 3. Comparisons of E<sub>a</sub> in different references.

Researcher	$E_{\rm a}$ (eV)	catalysts	Method (functional)
Hu et al. (2020)	0.24	$Fe_3O_4$ (111) surface	GGA/PBE
Esrafili (2018)	0.39	Si-doped boron nitride nanosheet	GGA/PBE
Fajín, Cordeiro, and Gomes (2012)	0.92	Au (321) surface	GGA/PAW
Esrafili, Heydari, and Dinparast (2019)	0.65/0.69	Pd/Ni-doped boron nitride nanosheet	M06-2X



**Figure 6.** Possible reaction pathway for the dissociation of  $N_2O$ : (a) without CO; (b) with CO. Bond distances are in Å.

when CO is added, this  $E_a$  decreases to 1.68 eV, with CO<sub>2</sub> and N<sub>2</sub> generated. Therefore, CO can promote the decomposition of N<sub>2</sub>O in the gas phase.

Besides, the generated N<sub>2</sub>O from the decomposition of (NO)<sub>2</sub> dimer could reabsorb onto the surface. To assess the associated mechanism, DFT studies are conducted on two stable configurations by locating one N<sub>2</sub>O molecule on the different sites of  $Fe_3O_4$  (111) surface, as shown in Figure 7. When N<sub>2</sub>O molecule approaches the surface with N-down, it can adsorb on the Fe<sub>Oct</sub> site with an  $E_{ads}$  of -0.89 eV. The corresponding PDOS results show that bond exists between Fe and N atoms. The length of N-O bond (1.20 Å) remains almost unchanged compared with that of free N<sub>2</sub>O molecule (1.19 Å). However, when N<sub>2</sub>O attaches to the surface with O-down, it decomposes into an O atom and an N2 molecule, adsorbing on Fe<sub>Oct</sub> and Fe<sub>Tet</sub> sites respectively. The  $E_{ads}$  of this configuration is as high as -2.35 eV, indicating a strong chemisorption. The PDOS results show that there exists intense hybridization between O-2p and  $Fe_{Oct}-3d$  orbitals, indicating a strong interaction between O atom and the surface. The interaction of  $N_2$  with  $Fe_{Tet}$  is much weaker, meaning that N<sub>2</sub> molecule is easier to desorb from surface than O atom. The stable configuration of the remaining O<sub>ads</sub> and its PDOS results are shown in Figure 7c. The O<sub>ads</sub> atom has an  $E_{ads}$  as high as -5.42 eV. The large overlap of Fe-d orbital and O-d orbital further indicates there is strong interaction between  $O_{ads}$  and the surface. As a result, the stable O<sub>ads</sub> will occupy the active sites of Fe<sub>Oct</sub> and Fe<sub>Tet</sub> and thus impede the decomposition of  $(NO)_2$  dimer.



**Figure 7.** The adsorption configurations and PDOS results of  $N_2O$  on  $Fe_3O_4$  (111): (a) *N*-down on Fe-Oct; (b) decomposition on both Fe-Oct and Fe-Tet. The adsorption of O atom on  $Fe_3O_4$  (111) and its PDOS: (c). Bond distances are in Å.

# The removal of O<sub>ads</sub> atom in presence of CO

As a reductant, CO can promote the reduction of NO. The proceeding of the reaction depends on effective removal of the remaining  $O_{ads}$  species. Figure 8 shows the possible reaction pathways in presence of CO. It can be seen the free CO molecule can adsorb simultaneously with  $O_{ads}$  on Fe<sub>Oct</sub> (IS6) or Fe<sub>Tet</sub> (IS5) sites with C-down. Then C atom and  $O_{ads}$  in IS5 get closer from 2.82 Å to 1.95 Å (TS5). Meanwhile, the Fe- $O_{ads}$  bond is weaken, with the bond length elongated to 2.25 Å. Finally, CO<sub>2</sub> molecule is produced (FS5). This process has a small  $E_a$  (0.14 eV) and is exothermic with 1.36 eV. The generated CO<sub>2</sub> has a moderate  $E_{ads}$  (-0.78 eV), indicating that CO<sub>2</sub> will be easy to desorb. IS6 reactant



Figure 8. Possible reaction pathway for the removal of O<sub>ads</sub> species. Bond distances are in Å.

overcomes an  $E_{ads}$  of 0.62 eV to produce TS6, and then obtains a CO<sub>2</sub> molecule adsorbed on Fe<sub>Oct</sub> as an intermediate structure IM6. After that, IM6 may change into a relatively more stable configuration (FS5) with a small  $E_a$  of 0.25 eV. Therefore, through both pathways, O<sub>ads</sub> will be easily removed with the presence of CO.

### The effect of O<sub>2</sub>

Previous studies show that NO reduction rate decreases severely in the presence of  $O_2$  (Li et al. 2012). Therefore, the adsorption of  $O_2$  on the surface is studied. As shown in Figure 9,  $E_{ads}$ 's of the two typical adsorption structures are -2.09 eV and -2.52 eV, respectively, which are comparable with that of NO. Therefore,  $O_2$  has a competitive effect against NO and CO when they reach the surface of Fe<sub>3</sub>O<sub>4</sub> (111). This could impede the reduction of NO by occupying the surface-active sites. Besides, previous researches also show that Fe-based catalysts could also catalyze the oxidation of CO by  $O_2$  (Kim and Han 2017; Yang et al. 2017). Therefore, there could exist a competing reaction of CO with  $O_2$  relevant to the reduction of NO by CO over Fe<sub>3</sub>O<sub>4</sub> (111). In general, from both the view of gas adsorption and reaction, the inhibited effect of  $O_2$  could be ascribed to the occupation of active sites and the oxidation of CO.

#### The reaction rate constants of different reactions

Figure 10 depicts the reaction rate constants k's of elementary reactions as discussed above at different temperatures.  $k_{\text{NOdis-1}}$ ,  $k_{\text{NOdis-2}}$  and  $k_{\text{NOdis-3}}$  represent the k's of three NO dissociation reactions as displayed in Figure 4.  $k_{\text{CO+O-1}}$  and  $k_{\text{CO+O-2}}$  represent the k's of IS6 $\rightarrow$ TS6 $\rightarrow$ IM6 and IS5 $\rightarrow$ TS5 $\rightarrow$ FS5 as shown in Figure 8, respectively.  $k_{(\text{NO)2dis}}$  is the k of decomposition of (NO)<sub>2</sub> dimer described in Figure 5.  $k_{\text{CO2des-1}}$  and  $k_{\text{CO2des-2}}$  designate the k's of CO<sub>2</sub> desorption from the surface. It can be found that all k's increase with increasing temperature, however, with different dependence degree. Even at a high temperature (1123 K),  $k_{\text{NOdis-1}}$ ,  $k_{\text{NOdis-2}}$  and  $k_{\text{NOdis-3}}$  are still close to zero, indicating that NO is hard to decompose under this circumstance. However, the k's of other reactions are several orders of magnitude higher than that of NO dissociation, confirming the possible reaction pathways:  $2\text{NO} \rightarrow (\text{NO})_2^*$ ,  $(\text{NO})_2^* \rightarrow \text{N}_2\text{O} + \text{O}^*$ , and  $\text{O}^* + \text{CO}^* \rightarrow \text{CO}_2$ . For all the elementary



**Figure 9.** Adsorption structure of  $O_2$  on  $Fe_3O_4(111)$ : (a)  $O_2$  on  $Fe_{Oct}$ ; (b)  $O_2$  on both  $Fe_{Oct}$  and  $Fe_{Tet}$ . Bond distances are in Å.



Figure 10. Reaction rate constants of elementary reactions at different temperatures.

reactions of this pathway,  $k_{\text{CO+O-1}}$  is obviously smaller than others under different temperatures. Therefore, the rate-limiting step is the removal of O atom from the surface.

# Conclusions

The adsorption configurations of CO and NO on Fe<sub>3</sub>O<sub>4</sub> and the reaction mechanisms are explored via DFT calculations. Results indicated that the tetrahedral and octahedral Fe sites (Fe<sub>Oct</sub> and Fe<sub>Tet</sub>) on Fe<sub>3</sub>O<sub>4</sub> are the active sites for NO/CO's chemisorption adsorption. NO has higher adsorption energy than CO and it is difficult to dissociate once it absorbs on Fe<sub>3</sub> O<sub>4</sub> surface because of the high energy barrier. In addition, (NO)<sub>2</sub> dimer mechanism is found to be a possible pathway for the reduction of NO by CO over Fe<sub>3</sub>O<sub>4</sub>, following three steps:  $2NO \rightarrow (NO)_2^*$ ,  $(NO)_2^* \rightarrow N_2O + O^*$ , and  $O^* + CO^* \rightarrow CO_2^*$ . The (NO)<sub>2</sub> dimer structure formed by the adsorption can decompose via a small energy barrier into a N<sub>2</sub>O molecule and an O atom adsorbing strongly on the surface, and then the surface O atom can be removed by CO. It was also found O<sub>2</sub> will prevent the NO reduction reaction. The calculated reaction rate constants further verify the existence of (NO)<sub>2</sub> dimer mechanism and the rate-limiting step is the removal of the surface O atom.

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# **Disclosure statement**

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